PTO/SB/21 (6/98)

Approved for use through 9/30/2000. OMB 0651-003! Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application No.	09/901,954
Filing Date	July 10, 2001
First Named Inventor	James Templeton
Group Art Unit	3628
Examiner Name	Nga B. Nguyen
Attorney Docket No.	PAY00-003

ENCLOSURES (check all that apply)								
	Extension of Time Express Abandon Information Disclor Certified Copy of It Document(s) Response to Miss Incomplete Applic	ned ponse peclaration(s) Request ment Request psure Statement Priority sing Parts Notice/		Assignment Papers for Drawing(s) Licensing-related Papers for Petition Petition Petition to Convert to Provisional Application Power of Attorney by Revocation of Former Change of Correspont Terminal Disclaimer Small Entity Statemer Request for Refund	ers Assignee, with Powers dence Address		After-Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s): Return Receipt Postcard Check for \$ 100.00	
			JRE OF	APPLICANT, A	TTORNEY OF	AGE	NT	
Name	Daniel E. V	/aughan (Re	gistration	No. 42,199)	Date	Feb	February 28, 2005	
Signature Llune & Varyha		Telephone	650/474-1973					
Address 702 Marshall Street, Suite 310, Redwood City, CA 94063			Facsimile	650/474-1976				
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being deposited with the U. S. Postal Service as Express Mail (No. EV 548 596 767 US) or								
First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on: February 28, 2005								
Type or Printed Name Daniel E. Vaughan Signature Caussa demphili								

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PTO/SB/17 (11-00)

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EE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 100.00

Complete if Known				
Application Number	09/901,954			
Filing Date	July 10, 2001			
First Named Inventor	James Templeton			
Examiner Name	Nga B. Nguyen			
Group Art Unit	3628			
Attorney Docket No.	PAY00-003			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	3. ADDITIONAL FEES LargeEntity Small Entity				
Deposit Account Number 50-1801	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid			
Deposit	1051 130 2051 65 Surcharge – late filing fee of	oath			
Account Name Park, Vaughan & Fleming LLP	1052 50 2052 25 Surcharge – late provisional cover sheet.	filing fee or			
Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17	1053 130 1053 130 Non-English specification				
Applicant claims small entity status.	1812 2520 1812 2520 Request for ex parte reexam	nination			
See 37 CFR 1.27	1804 920* 1804 920* Requesting publication of SI Examiner action	R prior to			
2. ⊠ Payment Enclosed: ☑ Check ☐ Credit card ☐ Money ☐ Other Order	1805 1840*1805 1840* Requesting publication of Si Examiner action	R after			
FEE CALCULATION	1251 120 2255 60 Extension for reply within fir	st month			
1. BASIC FILING FEE	1252 450 2252 225 Extension for reply within se	cond month			
Large Entity Small Entity	1253 1020 2253 510 Extension for reply within the	ird month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1590 2354 795 Extension for reply within fo	urth month			
1001 790 2001 395 Utility filing fee	1255 2160 2255 1080 Extension for reply within fift	th month			
1002 350 2002 175 Design filing fee	1401 500 2401 250 Notice of Appeal				
1003 550 2003 275 Plant filing fee	1402 500 2402 250 Filing a brief in support of ar	n appeal			
1004 790 2004 395 Reissue filing fee	1403 1000 2403 500 Request for oral hearing				
1005 200 2005 100 Provisional filing fee	1451 1510 1451 1510 Petition to institute a public	use proceeding			
SUBTOTAL (1) (\$)	1452 500 2452 250 Petition to revive – unavoida	able			
	1453 1500 2453 750 Petition to revive – unintenti	onal			
2. EXTRA CLAIM FEES Fee from	1501 1400 2501 700 Utility issue fee (or reissue)				
Extra Claims below Fee Paid	1502 800 2502 400 Design issue fee				
Total Claims 43 -41**= 2 x 50.00 100.00 Independent -3 ** = X	1503 1100 2503 550 Plant issue fee				
Claims	1460 130 1460 130 Petitions to the Commission	er			
Multiple Dependent	1807 50 1807 50 Processing fee for provision	al applications			
**or number previously paid, if greater; For Reissues, see below	1806 180 1806 180 Submission of Information E	Disclosure Stmt			
Large Entity Fee Fee Fee Fee Description	8021 40 8021 40 Recording each patent assist property (times number of p				
Code (\$) Code (\$) 1202 50 2202 25 Claims in excess of 20	1809 790 2809 395 Filing a submission after final (37 CFR 1.129(a))	al rejection			
1201 200 2201 100 Independent claims in excess of 3	1810 790 2810 395 For each additional invention examined (37 CFR 1.129(b)				
1203 360 2203 180 Multiple dependent claim 1204 200 2204 100 ** Reissue independent claims	1801 790 2801 395 Request for Continued Exam	mination (RCE)			
over original patent 1205 50 2205 25 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited exam of a design application	nination			
	Other fee (specify)				
SUBTOTAL (2) (\$) 100.00	*Reduced by Basic Filing Fee Paid SUB	STOTAL (3) (\$)			
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SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199	Telephone	650-474-1973
Signature	Dun It Van	gha		Date	February 28, 2005

03-01-05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

James Templeton

Application No.

09/901,954

Filed

July 10, 2001

Docket

PAY00-003

Title

System and Method for Verifying a Financial Instrument

Group/Art Unit

3628

Examiner

Nga B. Nguyen

REPLY UNDER 37 C.F.R. § 1.111

Commissioner for Patents Alexandria, VA 22313

Sir:

In response to the Office Action mailed January 21, 2005, please amend the subject application as follows and consider the corresponding Remarks.